WC 07-98

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SECTION E - CREDIT CARD PAYMENT INFORMATION

MASTERCARD VISA AMEX DISCOVER\_

EXPIRATION DATE

FCC FORM 159

FEBRUARY 2003/REVISEDY

# WOODS & AITKEN

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THOMAS J. MOORMAN
Direct Dial: 202-944-9502
EMail tmooman@woodsaitkn.com
Admitted to practice only in the District
of Columbia

May 1,2007

Marlene H. Dortch Secretary Federal Communications Commission 445 12<sup>th</sup> Skeet, NW Washington, DC 20554

Re: In the Matter of Clarks Telecommunications Co., Clarks Telecom Long Distance, Clarks Telecom **Co.** and Northeast Nebraska Telephone Company

Application for Authorization Pursuant to Section **214** of the Communications Act, **as** Amended, for Transfer of Control

WC Docket No.

Dear Ms. Dortch

On behalf of Clarks Telecommunications Co., Clarks Telecom Long Distance, Clarks Telecom Co. and Northeast Nebraska Telephone Company, we attach herewith five copies of the above-referenced Application. The original and five (5) copies of this Application, along with the appropriate FCC Form 159 and application fee of \$965.00, arc submitted this date to the Commission's depository agent, Mellon Bank, in Pittsburgh, Pennsylvania.

Please contact the undersigned should you have any questions or require additional information.

Respectfully submitted,

Thomas J. doorman

Attachments

## BEFORE THE FEDERAL COMMUNICATIONS COMMISSION WASHINGTON, DC 20554

In the Matter of	)	
Clarks Telecommunications Co., Clarks Telecom Long Distance, Clarks Telecom Co. and Northeast	) ) ) WC Docket No.	
Nebraska Telephone Company	) )	
Application for Authorization Pursuant to Section 214 of the Communications Act of <b>1934</b> , as Amended, for Transfer of Control	<b>}</b>	

#### APPLICATION FOR TRANSFER OF CONTROL

Clarks TelecommunicationsCo. ("CTC"), Clarks Telecom Long Distance ("CTLD),

Clarks Telecom Co. ("Clarks Telecom") and Northeast Nebraska Telephone Company

("Northeast") (collectively the "Applicants"), hereby submit this Application for Authority to

Transfer Control ("Application") of CTC, CTLD and Clarks Telecom to Northeast. This

Application is submitted in contemplation of the stock purchase by Northeast of all of the issued and outstanding stock of Clarks Telecom, of which CTC and CTLD are wholly-owned

subsidiaries. Upon Commission approval of this Application, control of Clarks Telecom and its wholly-owned subsidiaries will transfer to Northeast. Prompt grant of this Application will

allow the Applicants to take advantage of increased efficiencies that are attendant from broader-based rural operations while, at the same time, ensuring the continuation of Applicants'

respective commitment to high quality, reasonably priced rural telecommunications services in

Nebraska. Applicants respectfully submit that this request should be subject to streamlined review pursuant to Section 63.03 of the Commission's Rules.

## **SECTION 63.04(a) INFORMATION**

Pursuant to Section 63.04(a) of the Commission's rules, the Applicants provide the following information in support of this requested transfer of control:

### (1) Applicants' Name, Address and Telephone Number

Clarks Telecommunications Co.
Clarks Telecom Long Distance
Clarks Telecom Co.
Cindy Bittinger
Secretary-Treasurer
106 W. Amity
P.O. Box 126
Clarks, Nebraska 68628

Telephone: (308) 548-2251 Facsimile: (308) 548-2400

#### Northeast Nebraska Telephone Company

Emory **Graffis**General Manager
PO Box 66
110 East Elk St.
Jackson, Nebraska 68743

Telephone: (402) 632-4321 Facsimile: (402) 632-4770

## (2) State of Organization

CTC, CTLD and Clarks Telecom are each Nebraska corporations.

Northeast is **a** Nebraska corporation organized under the state's non-profit corporation statutes.

## (3) Contact Information

to:

All correspondence, notices and inquiries regarding this Application should be addressed

Cindy Bittinger Secretary-Treasurer Clarks Telecom Co. 106 W. Amity P.O. Box 126 Clarks, Nebraska 68628

Telephone: (308) 548-2251 Facsimile: (308) 548-2400

Emory Graffis General Manager Northeast Nebraska Telephone company PO Box 66 110 East Elk St. Jackson, Nebraska 68743

Telephone: (402) 632-4321 Facsimile: (402) 632-4770

Copies of all correspondence, notices, and inquiries should also be addressed to:

Thomas J. Moorman Woods & Aitken LLP 2154 Wisconsin Avenue, NW, Suite 200 Washington, DC 20007 Telephone: (202) 944-9502 Facsimile: (202) 944-9501

## (4) Ten **percent (10%) Equity** Shareholders:

## A. TRANSFERORS - CTC, CTLD AM) CLARKS TELECOM

CTC is an incumbent local exchange carrier ("ILEC") operating approximately 900 access lines in the towns of Clarks, Ulysses and Staplehurst, Nebraska. *See* Attachment A. CTLD is a resale interexchange carrier ("IXC") providing resold long distance in the service area of CTC. CTC and CTLD are wholly-owned subsidiaries of Clarks Telecom.

Clarks Telecom *is* a holding company operating in the State of Nebraska. The owners **of**Ten Percent (10%) or more of the equity of Clarks Telecom **are** as follows:

<u>Name</u>	<b>Equity</b>	<u>Citizenship</u>
Timothy D. Bittinger Trust	50% of Class A voting stock and 46.05% of Class B non-voting stock	USA
Cynthia L. Bittinger Trust	50% of Class A voting stock and 46.05% of Class B non-voting stock	<b>USA</b>

The Timothy D. Bittinger **Trust** ("TDBT") **is** established under the laws of Nebraska and is a revocable trust that holds the equity interests of Clarks Telecom as described above, together with other property. The trustees of the TDBT are Timothy D. Bittinger and Cynthia L. Bittinger. Each of these trustees is a United States citizen. The beneficiaries of the TDBT are all members of Timothy D. Bittinger's immediate family or trusts for the benefit of such family members and **are** each United States citizens or **trusts** formed under Nebraska law for the benefit of such family members who are each United States citizens.

The Cynthia L. Bittinger Trust ("CLBT") is established under the laws of Nebraska and is a revocable trust that holds the equity interests of Clarks Telecom as described above, together with other property. The trustee of the CLBT is Cynthia L. Bittinger. Such trustee is a United States citizen. The beneficiaries of the CLBT are all members of Cynthia L. Bittinger's immediate family or trusts for the benefit of such family members and are each United States citizens or trusts formed under Nebraska law for the benefit of such family members who are each United States citizens.

#### B. TRANSFEREES - NORTHEAST.

Northeast is telephone cooperative operating in the 8 distinct geographic areas in the eastern portion of the State of Nebraska. *See* Attachment **A.** Northeast is an ILEC providing service to approximately 7,000 access lines in its services areas. As a cooperative, no

stockholder owns Ten Percent (10%) or more of Northeast's issued and outstanding stock.

Operational control of Northeast rests with its Board of Directors and its General Manager (Emory Graffis). The individuals comprising the Board of Directors are as follows:

Name	<u>Title</u>	Occupation	<u>Citizenship</u>
David M. Armstrong	President and Director	Farming	USA
Marvin Domina	Vice President and Director	Retired Farmer	USA
Omer Hoffman	Second Vice President and Director	Retired Rancher	r USA
John L. Knievel	Secretary and Director	Farming	USA
Dave Schutte	Treasurer and Director	Farming	USA
Tom Hruza	Director	Retired Farmer	USA
Tom Stranik	Director	Farming	USA
Jeff Hurrell	Director	Farming	USA
Lynn Moore	Director	Retired Utility Executive	USA

The address for each of these individuals is PO Box 66, 110 East Elk St., Jackson, Nebraska 68743.

Northeast also has a wholly-owned subsidiary, CenCom, Inc. CenCom, Inc. provides resold IXC services in the areas served by Northeast under **the** name CenCom, Inc. d/b/a NNTC **Long** Distance ("NNTC LD").

## (5) Certification Pursuant to Rules 1.2001-1.2003

Applicants hereby certify, pursuant to 47 C.F.R. §§ 1.2001-1.2003, that to the best of their knowledge, information, and belief, no party to the Application is subject to denial *of* federal benefits pursuant to section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862.

#### (6) **Description of the Transaction**

Northeast and **the** shareholders of Clarks Telecom have entered into a Stock Purchase Agreement whereby Northeast will purchase all of the issued and outstanding stock of Clarks Telecom. Upon consummation of the transaction, Northeast plans to operate CTC and CTLD as separate, second tier wholly-owned subsidiaries of Clarks Telecom.

#### (7) Geographic Description

CTC is an ILEC providing local exchange and exchange access service to approximately 900 subscribers in the **towns** of Clarks, Ulysses and Staplehurst, Nebraska. *See* Attachment A. CTLD is a resale IXC reseller providing service to subscribers in the general area served by CTC.

Northeast is telephone cooperative operating in eight distinct geographic areas in the eastern portion of the State of Nebraska and serves approximately 7,000 access lines. *See* Attachment A. NNTC LD provides resold IXC services in the areas served by Northeast.

#### (8) Streamlined Treatment

The Applicants request streamlined treatment for this Application which qualifies for streamlining under Sections 63.03 of the Commission's rules.

The Application is entitled to **a** presumption of the applicability of the streamlined procedures pursuant to Sections 63.03(b)(2)(ii) and (iii). Upon consummation of the proposed transaction, Northeast, together with all of its affiliates, will have a less than 10% share of **the** interstate, interexchange market. With respect to Section 63.03(b)(2)(ii), CTLD provides non-

dominant carrier services exclusively outside the geographic area where Northeast (the ILEC) is dominant and NNTC LD provides non-dominant carrier services exclusively outside the geographic area where CTC (the ILEC) is dominant. With respect to Section 63.03(b)(2)(iii), CTC and Northeast, each an independent telephone company and a rural telephone company (as that term is defined under the Communications Act of 1934, as amended), have individually and collectively fewer than two (2) percent of the nation's subscriber lines and there are no overlapping or adjacent service areas. *See* Attachment A. There are no requests *for* waivers associated with this Application.

- (9) Other Related Applications Before the CommissionNone. CTLD and NNTC LD do not provide international interexchange service.
- (10) Statement of Imminent Business Failure

  Not applicable.
- (11) Separately Filed Waiver Requests

  None

#### (12) **Public** Interest Statement

Prompt grant of this Application will allow the Applicants to take advantage of increased efficiencies that are attendant from broader-based rural operations while, at the same time, ensuring the continuation of Applicants' respective commitment to high quality, reasonably priced rural telecommunications services in Nebraska, Accordingly, the Applicants respectfully submit that the public interest would be served by a grant of this requested transfer of control.

## **CONCLUSION**

For the foregoing reasons, Applicants respectfully request that the Commission promptly grant this Application.

Respectfully Submitted,

Clarks Telecommunications Co. Clarks Telecom Long Distance Clarks Telecom Co. Northeast Nebraska Telephone Company

By:

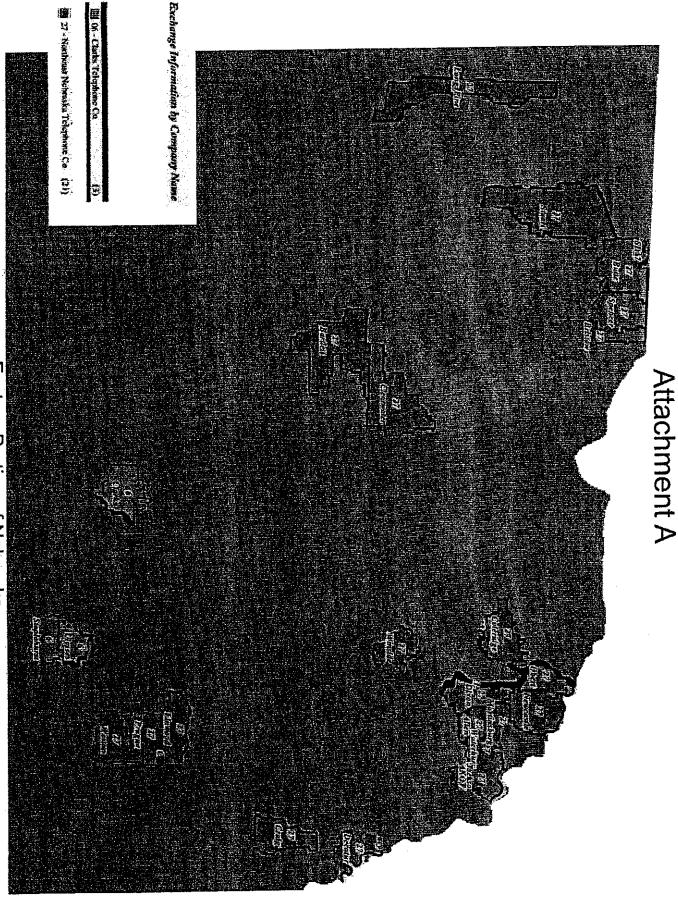
Thomas J. Moorman Woods & Aitken LLP

2154 Wisconsin Avenue, NW, Suite 200

Washington, DC 20007 202/944-9500 (TEL) 202/944-9501 (FAX)

Their Attorneys

May 1,2007



Eastern Portion of Nebraska

#### **DECLARATION**

I, Cindy Bittinger, Secretary-Treasurer of Clerks Telecom Co. ("Clarks Telecom"), do hereby declare under penalties of perjury that I have read the foregoing Application, and the information contained therein regarding Clarks Telecom is true and accurate to the best of my knowledge, information, and belief.

Cindy Bittinger

Secretary-Treasurer Clarks Telecom Co.

106 W. Amity P.O. Box 126

Clarks, Nebraska 68628

Date:  $5 \cdot 1 \cdot 07$ 

## DECLARATION

I, Emory Graffis, General Manager of Northeast Nebraska Telephone Company ("Northeast"), do hereby declare under penalties of perjury that I have read the foregoing Application, and the information contained therein regarding Northeast is true and accurate to the best of my knowledge, information, and belief.

Emory Graffis

General Manager

Northeast Nebraska Telephone Company

PO Box 66

110 East Elk St.

Jackson, Nebraska 68743

Date: May 1, 2007

## **CERTIFICATE OF SERVICE**

I, Thomas J. Moorman, of Woods & Aitken LLP, 2154 Wisconsin Avenue, N.W., Suite 200, Washington, DC 20007, do hereby certify that on this 1<sup>st</sup> day of May, 2007 of the foregoing "Application for Transfer of Control" was mailed, first class postage prepaid, to the following:

Thomas J. Moorman

The Honorable Dave Heineman Governor, State of Nebraska Lincoln Office/State Capitol Office of **the** Governor P.O. Box 94848 Lincoln, NE 68509-4848

Department of Defense Assistant Secretary of Defense for C31 1000 Defense Pentagon, Room 3E172 Washington, DC 20301-1000

Department of State EB/CIP/SCA Room 4826 2201 C Street, N.W. Washington, DC 20520

- Competition Policy Division Wireline Competition Bureau Federal Communications Commission 445 12<sup>th</sup> Street, S.W. Washington, D.C. **20554**
- Dennis Johnson
  Wireline Competition Bureau
  Federal Communications Commission
  445 12<sup>th</sup> Street, S.W.
  Washington, D.C. 20554

<sup>\*</sup> via hand delivery